



Hanoi, 15 October 2026

CERTIFICATE

Eligible to provide securities transaction clearing and settlement services

CHAIRMAN OF THE STATE SECURITIES COMMISSION

Persuant to the Securities Law No.54/2019/QH14, as amended and supplemented by Law No.56/2024/QH15;

Persuant to Government Decree No. 155/2020/ND-CP dated December 31, 2020, detailing the implementation of a number of articles of the Securities Law, as amended and supplemented by Government Decree No.245/2025/ND-CP dated September 11, 2025;

Persuant to Resolution No.66.16/2026/NQ-CP dated April 7, 2026 of the Government on reducing and simplifying administrative procedures and regulations related to production and business activities;

Persuant to Resolution No.24/2026/NQ-CP dated April 29, 2026, of the Government on reducing, decentralizing, and simplifying administrative procedures and business conditions in the fields of national defense, internal affairs, finance, construction, diplomacy, justice, and banking;

Persuant to Decision No.686/QĐ-BTC dated February 28, 2025, of the Minister of Finance, which stipulates the functions, tasks, powers, and organizational structure of the State Securities Commission;

Based on Establishment and Operation License No.116/GP-UBCK dated December 9, 2013, issued by the Chairman of the State Securities Commission to MB Securities Joint Stock Company;

Based on the application file for a Certificate of Eligibility to provide securities transaction clearing and settlement services submitted by MB Securities Joint Stock Company;

As requested by the Head of the Securities Business Management Department.

DECISION:

Article 1. Granting of Certificates of Eligibility to provide securities transaction clearing and settlement services as a general clearing member in accordance with the law on securities and the securities market to:

MB Securities Joint Stock Company

Article 2. During its operation, MB Securities Joint Stock Company must comply with Vietnamese law regarding the clearing and settlement of securities transactions, other relevant legal documents, and the Company's Charter.

Article 3. This Certificate is effective from the date of signing and is an integral part of the Establishment and Operation License No.116/GP-UBCK dated December 9, 2013, issued by the Chairman of the State Securities Commission to MB Securities Joint Stock Company. Within 12 months from the date of issuance of this Certificate, MB Securities Joint Stock Company is responsible for registering as a clearing member in accordance with the law.

Article 4. This Certificate of Eligibility to Provide Securities Transaction Clearing and Settlement Services is made in three (03) original copies: one (01) copy is given to MB Securities Joint Stock Company; one (01) copy is sent to Vietnam Securities Depository and Clearing Corporation; one (01) copy is kept at the State Securities Commission. *rh*

**ON BEHALF OF THE CHAIRMAN
VICE CHAIRMAN**

(Signed and sealed)

Le Thi Viet Nga

**CÔNG TY
CỔ PHẦN
CHỨNG
KHOÁN MB**

Digitally signed by CÔNG TY CỔ
PHẦN CHỨNG KHOÁN MB
DN: C=VN, CN=CÔNG TY CỔ PHẦN
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Note: In the event of any discrepancies between the two language versions of this Document, the Vietnamese version shall prevail.